



INDIAN OCEAN MEMORANDUM OF UNDERSTANDING ON PORT STATE CONTROL

Update on the Global Integrated Shipping Information System (GISIS) –Survey and Certification

IOMOU Circular No. 03 of 2014 dated 18.12.2014

1. The International Maritime Organisation has issued a Circular Letter No.3492 dated 2 December 2014 on Update on the Global Integrated Shipping Information System (GISIS) . Survey and Certification Database.
2. As adopted during the twenty-eighth session of Assembly, the GISIS Module on "Survey and Certification" has been developed and the facilities are now available for Contracting Governments or Parties to mandatory IMO instruments to directly enter relevant data on exemptions, equivalents and specimens of certificates, as required under the provisions contained in the Conventions and Protocols as detailed in para 3 of the Circular Letter No. 3492 or voluntarily, as appropriate. Reporting facilities are also available for reporting under the mandatory and non-mandatory codes
3. Copy of the Circular provided as Annex 1 for information and necessary action.

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Circular Letter No.3492
2 December 2014

To: All IMO Members and Associate Members
Contracting Governments to the International Convention for the Safety of Life at Sea, 1974
Parties to the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto and further amended by the Protocol of 1997
Contracting Governments to the International Convention on Load Lines, 1966
Contracting Governments to the International Convention on Tonnage Measurement of Ships, 1969
Parties to the Convention on the International Regulations for Preventing Collisions at Sea, 1972
Parties to the International Convention on the Control of Harmful Anti-fouling Systems on Ships, 2001

Subject: **Update on the Global Integrated Shipping Information System (GISIS) – Survey and Certification Database**

1 The Assembly, at its twenty-sixth session, adopted resolution A.1029(26) on *Global Integrated Shipping Information System (GISIS)*, which, inter alia, urged Member States to use the GISIS reporting facilities to sustain and even enhance compliance with mandatory reporting requirements, as contained in those mandatory instruments to which they are Parties, thereby potentially assisting them in the context of the IMO Member State Audit Scheme. The Assembly requested the Secretary-General to continue developing the system in close cooperation with Member States, the IMO organs, international organizations and all other stakeholders of the global maritime community, as appropriate.

2 The Assembly, at its twenty-eighth session, adopted resolution A.1074(28) on *Notification and circulation through the Global Integrated Shipping Information System (GISIS)*. Operative paragraphs 1 to 3 of the resolution read as follows:

"1 AGREES that notification through GISIS should be considered as one effective way for Contracting Governments or Parties to IMO instruments to fulfil their reporting obligations under the various mandatory IMO instruments;

2 FURTHER AGREES that once Contracting Governments or Parties have notified through GISIS in respect of a reporting requirement to the Organization, the requirement for the Organization to circulate any such notification under the IMO instrument concerned would have been met; and

3 URGES Member States to use the reporting facilities available through GISIS to fulfil their reporting obligations under the various IMO instruments and to work towards the improvement of the quality of the data being collected through GISIS by implementing comprehensive validation processes when entering data into the system."

3 In the context of the above, the GISIS Module on "Survey and Certification" has been developed and the facilities are now available for Contracting Governments or Parties to mandatory IMO instruments to directly enter relevant data on exemptions, equivalents and specimens of certificates, as required under the provisions contained in the following Conventions and Protocols or voluntarily, as appropriate:

- .1 International Convention for the Safety of Life at Sea, 1974, as amended (SOLAS 1974);
- .2 Protocol of 1978 relating to the International Convention for the Safety of Life at Sea, 1974, as amended (SOLAS PROT 1978);
- .3 Protocol of 1988 relating to the International Convention for the Safety of Life at Sea, 1974, as amended (SOLAS PROT 1988);
- .4 International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto, as amended (MARPOL 73/78);
- .5 Protocol of 1997 to amend the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto, as amended (MARPOL PROT 1997);
- .6 International Convention on Load Lines, 1966, as amended (LL 66);
- .7 Protocol of 1988 relating to the International Convention on Load Lines, 1966, as amended (LL PROT 1988);
- .8 International Convention on Tonnage Measurement of Ships, 1969 (TONNAGE 1969);
- .9 Convention on the International Regulations for Preventing Collisions at Sea, 1972, as amended (COLREG 1972); and
- .10 International Convention on the Control of Harmful Anti-fouling Systems on Ships, 2001(AFS 2001).

4 Reporting facilities are also available for reporting under the following mandatory codes:

- .1 International Code for the Construction and Equipment of Ships Carrying Dangerous Chemicals in Bulk, as amended (IBC Code);
- .2 Code for the Construction and Equipment of Ships Carrying Dangerous Chemicals in Bulk, as amended (BCH Code);
- .3 International Code for the Construction and Equipment of Ships Carrying Liquefied Gases in Bulk, as amended (IGC Code);
- .4 International Code of Safety for High-Speed Craft, 1994, as amended (1994 HSC Code);

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- .5 International Code of Safety for High-Speed Craft, 2000, as amended (2000 HSC Code);
 - .6 International Code for Fire Safety Systems, as amended (FSS Code);
 - .7 International Code for Application of Fire Test Procedures, as amended (FTP Code);
 - .8 International Code for Application of Fire Test Procedures, 2010 (FTP Code);
 - .9 International Life-Saving Appliance Code, as amended (LSA Code);
 - .10 International Code for the Safe Carriage of Grain in Bulk, as amended (Grain Code);
 - .11 International Code for the Safe Carriage of Packaged Irradiated Nuclear Fuel, Plutonium and High-level Radioactive Wastes on board Ships, as amended (INF Code);
 - .12 International Code on Intact Stability, 2008, as amended (IS Code);
 - .13 International Safety Management Code, as amended (ISM Code);
 - .14 International Code for the Security of Ships and of Port Facilities, as amended (ISPS Code); and
 - .15 Technical Code on Control of Emission of Nitrogen Oxides from Marine Diesel Engines, as amended (NOx Code).
- 5 Reporting can also be performed under the following non-mandatory codes:
- .1 Code for the Construction and Equipment of Ships Carrying Liquefied Gases in Bulk, as amended (GC Code);
 - .2 Code for the Construction and Equipment of Mobile Offshore Drilling Units, as amended (1979 MODU Code);
 - .3 Code for the Construction and Equipment of Mobile Offshore Drilling Units, 1989, as amended (1989 MODU Code);
 - .4 Code for the Construction and Equipment of Mobile Offshore Drilling Units, 2009, as amended (2009 MODU Code);
 - .5 Code of Safety for Special Purpose Ships (1983 SPS Code), as amended; and
 - .6 Code of Safety for Special Purpose Ships, 2008, as amended (2008 SPS Code).
- 6 Guidance for the use of the module is set out in the annex. Should Member States encounter any problems while entering new information or updating existing information in GISIS, they should contact the Secretariat at the following email address: gisis@imo.org.

ANNEX

User's Guidance to the Survey and Certification Module in GISIS

1 Log-In

1.1 Global Integrated Shipping Information System (GISIS) users should log in, in order to access the Survey and Certification Module. It can be accessed from any Internet-connected device at the address: <https://gisis.imo.org/>.

1.2 All users must enter their GISIS username and password to log in to the system. Only Member States and authorized users, as detailed in IMO Circular Letter No.2892 regarding access to IMO GISIS, can make a record and update data in the Module.

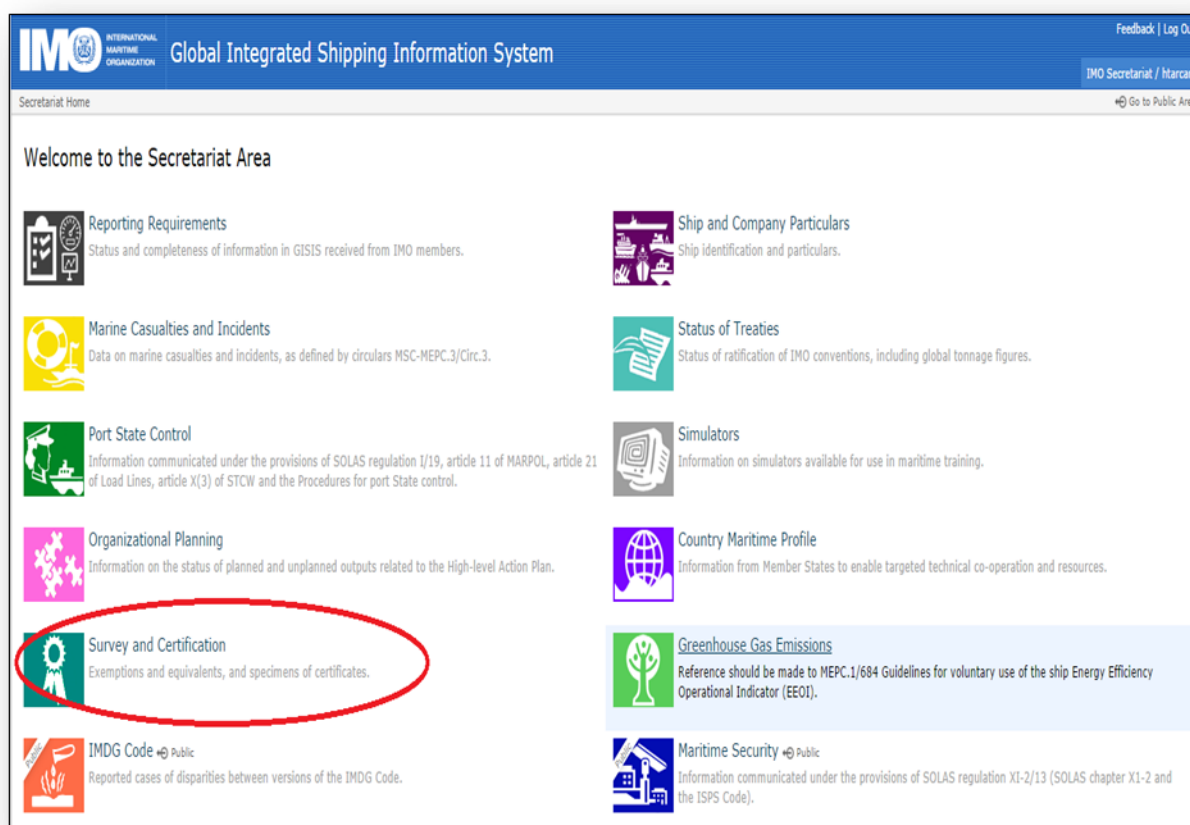


Figure 1: GISIS main menu

2 Survey and Certification Module

2.1 The Survey and Certification Module contains two kinds of information, i.e. exemptions and equivalents, and specimens of certificates, communicated to the Organization by Administrations under the provisions of relevant IMO Conventions and Codes, including non-mandatory communications and instruments.

3 Exemptions and equivalents

3.1 Under some provisions of relevant IMO Conventions and Codes, particulars on exemptions or equivalents should be communicated to the Organization by the Administration concerned. In other cases, particulars on exemptions granted are not required to be communicated to the Organization, even though an exemption certificate may be issued. In this context, it should be understood that the information to be collected in the module should be, at least, the case that requires Administration to communicate to IMO under the provisions of relevant IMO Conventions and Codes, but could also contain voluntary communications.

3.2 The guide of data input for exemptions and equivalents is self-explanatory as illustrated in figure 2 with the following clarifications:

- .1 recording is available for Contracting Governments or Parties to mandatory IMO instruments to directly enter relevant data on exemptions and equivalents for the following conventions and protocols: SOLAS 1974, MARPOL Annexes I, II and IV, LL 1966, LL Protocol 1988, Tonnage 1969, and COLREG 1972. The module is also available for reporting under the following mandatory codes: IBC, BCH, IGC, 1994 and 2000 HSC, FSS, FTP, LSA, Grain, INF, IS, LSA and NOx Codes, and following non-mandatory codes: GC, 1979/1989/2009 MODU and 1983/2008 SPS Code;
- .2 for exemptions and equivalents regarding MARPOL Annex VI, the information should be submitted through the MARPOL Annex VI Module;
- .3 documents, such as certificates, approval of documents or any supplements to be submitted should be first converted or scanned in pdf and, if possible, uploaded as one ZIP file; and
- .4 references to IMO circulars as shown in figure 2, are intended for existing reporting cases where a circular was previously issued by IMO.

4 Specimens of certificates

4.1 Under some regulations of relevant IMO Conventions, the certificates should be issued by officers of the Administration, which may, however, entrust the matter to surveyors nominated for the purpose or the recognized organizations (ROs)/recognized security organizations (RSOs), if so authorized to carry out surveys and verifications and issue certificates on its behalf.

The screenshot displays the 'Exemptions and Equivalents for Ships' form in the IMO GISIS system. The form is organized into several sections:

- Details of Exemption or Equivalent:** Contains dropdown menus for 'Flag Administration' and 'Convention', and text input fields for 'Ship IMO Number', 'Ship name', 'Ship type', 'Gross tonnage', and 'Date of notification' (with a date picker).
- Authority and Exemption:** Two sections with text input fields: 'Under the authority conferred by the provisions of' and 'Exempted from or equivalent to the requirements of'.
- Conditions or restrictions:** A large text area for specifying any conditions.
- Details, reasons, justification and other information:** Another large text area for providing supporting information.
- Documents:** A section for uploading files, featuring a 'Choose File' button and the text 'No file chosen'.
- Withdrawal or cancellation of the above grant/approval:** Includes a 'Date withdrawn or cancelled' date picker and a 'Reasons' text area.
- IMO Circulars (if applicable):** Fields for 'Circular' and 'Circular date'.

At the bottom of the form, there are two buttons: 'Cancel' and 'Save'.

Figure 2: Input page for exemptions and equivalents for ships

4.2 The module accommodates all above-mentioned cases. However, it is understood that a sufficient number of specimens of their certificates issued under the provisions of the relevant IMO Conventions would be up to the discretion of the Administration.

4.3 The guide of data input for specimens of certificates is self-explanatory as illustrated in figure 3 with the following clarifications:

- .1 recording is available for Contracting Governments or Parties to mandatory IMO instruments to directly enter relevant data on specimens of certificates for the following conventions and protocols: SOLAS 1974, SOLAS PROT 1978, SOLAS PROT 1988, MARPOL Annexes I, II, IV and VI, LL 1966, LL PROT 1988, Tonnage 1969 and the AFS 2001, whether on a mandatory or voluntary basis. The module is also available for reporting on specimens of certificates under the following codes: IBC, BCH, IGC, 1994 and 2000 HSC, INF, ISM, ISPS and NOx Codes.
- .2 the specimen of certificates under a specified mandatory instrument to be submitted, should be first converted or scanned in pdf and if possible, be uploaded as one ZIP file;

- .3 the drop lists of recognized organizations and recognized security organizations are maintained respectively in the Recognized Organizations Module and Maritime Security Module; and
- .4 references to IMO circulars, as indicated in figure 3, are intended for existing cases where a circular was previously issued by IMO.

IMO INTERNATIONAL MARITIME ORGANIZATION GISIS: Survey and Certification

Secretariat Home > Survey and Certification > Specimens of Certificates and Documents

Exemptions and Equivalents Specimens

Specimens of Certificates and Documents

Details of specimen

Reporting Administration: -- Please select --

Certificate(s) issued under Convention or Code: -- Please select --

Issued by:

- Administration
- Recognized organization
- Recognized security organization
- Nominated surveyors

Date of notification: yyyy-mm-dd

Certificate specimen(s): (If there are multiple specimen files, please upload as one combined ZIP file.)

Choose File No file chosen

Additional information:

IMO Circulars (if applicable)

Circular:

Circular date: yyyy-mm-dd

« Cancel Save »

Figure 3: Input page for specimens of certificates and documents